



TRANSMITTAL FORM <small>(to be used for all correspondence after initial filing)</small>	Application Number	10/720,372
	Filing Date	November 24, 2003
	First Named Inventor	Ghiron
	Art Unit	-
	Examiner Name	-
Total Number of Pages in This Submission	Attorney Docket Number	SIO-0107

ENCLOSURES (Check all that apply)		
<input type="checkbox"/> Fee Transmittal Form	<input type="checkbox"/> Drawing(s)	<input type="checkbox"/> After Allowance communication to Group
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SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT	
Firm or Individual name	Wendy W. Koba
Signature	<i>Wendy W. Koba</i>
Date	2/24/04

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Signature	<i>Wendy W. Koba</i>
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IN THE UNITED STATES
PATENT AND TRADEMARK OFFICE

Patent Application

Inventor Margaret Ghiron

Case: SIO-0107

Serial No. 10/720,372

Group Art Unit -

Filing Date November 24, 2003

Title: *Beam Shaping and Practical Methods of Reducing Loss Associated With Mating External Sources and Optics to Thin Silicon Waveguides*

COMMISSIONER OF PATENTS
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SIR:

INFORMATION DISCLOSURE STATEMENT

In accordance with 37 CFR 1.97(b), the enclosed Information Disclosure Statement is submitted for consideration in the above-identified application.

NO FEE IS REQUIRED.

Respectfully,

By: Wendy W. Koba
Wendy W. Koba, Esq.
Reg. No. 30509
Attorney for Applicant

Date: 2/24/04

Att: - Information Disclosure Statement



PTO/SB/08a (05-03)

Approved for use through 04/30/2003, OMB 0651-0031

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Substitute for form 1449A/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Complete if Known

Application Number

10/720 372

Filing Date

November 24 2003

First Named Inventor

Ghicon

Art Unit -

1

Examiner Name

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Attorney Docket Number

510-0107

U. S. PATENT DOCUMENTS

[illegible]

FOREIGN PATENT DOCUMENTS

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Examiner Signature		Date Considered	
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Substitute for form 1449B/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use as many sheets as necessary)		Complete if Known		
		Application Number	10/720,372	
		Filing Date	November 24, 2003	
		First Named Inventor	Ghiron	
		Art Unit	-	
Examiner Name	-			
Sheet		of	Attorney Docket Number	SIO-0107

NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
		L.A. COLDREN (PI), Y.A. AKULOVA, E.M. STRZELECKA, B.J. THIBEAULT, J.C. KO and D.A. LOUDERBACK, "VCSEL ARRAY PACKAGING FOR FREE SPACE INTERCONNECTS", University of CA, Santa Barbara, CA; Report 1996-97 for MICRO Project 96-050	
		P.K. TIEN, R. ULRICH; "Theory of Prism-Film Coupler and Thin-Film Light Guides", Journal of the Optical Society of America, Vol. 60, number 10, October 1970	
		R. ULRICH; "Theory of the Prism-Film Coupler by Plane-Wave Analysis", Journal of the Optical Society of America, Vol. 60, number 10, October 1970	
		HIROSHI NISHIHARA; MASA MITSU HARUNA, TOSHIKI SUHARA, "Optical Integrated Circuits", 1985; Ohmsha, Ltd.	
		R. ULRICH, "Optimum Excitation of Optical Surface Waves", Journal of the Optical Society of America, Vol. 61, No. 11, pp. 1467-1477, Nov. 1971.	

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